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Attorney Docket No. HAU199 CON  
Client/Matter No. 81250.0040.001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David T. Bailey, et al.

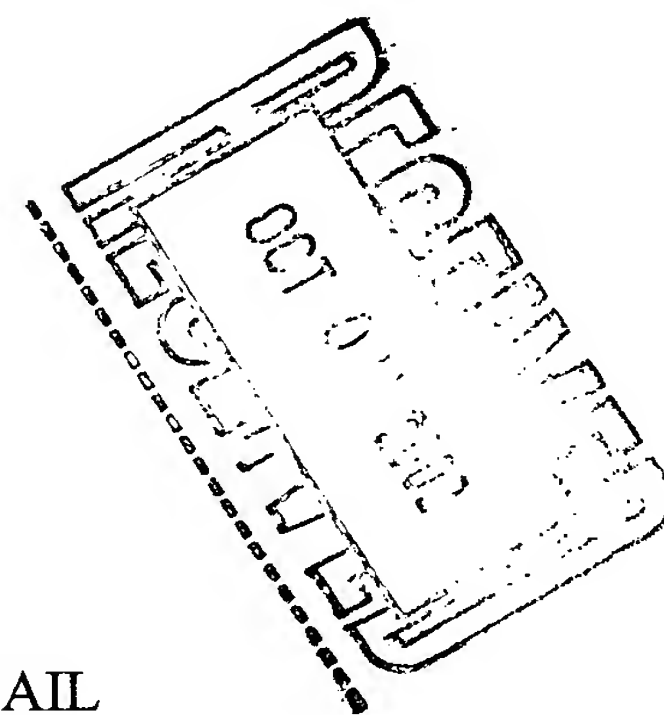
Serial No. 10/001,880

Filed: November 16, 2001

For: HIGH PURITY BETA-CAROTENE AND PROCESS FOR OBTAINING SAME

Group Art Unit: 1764

Examiner:



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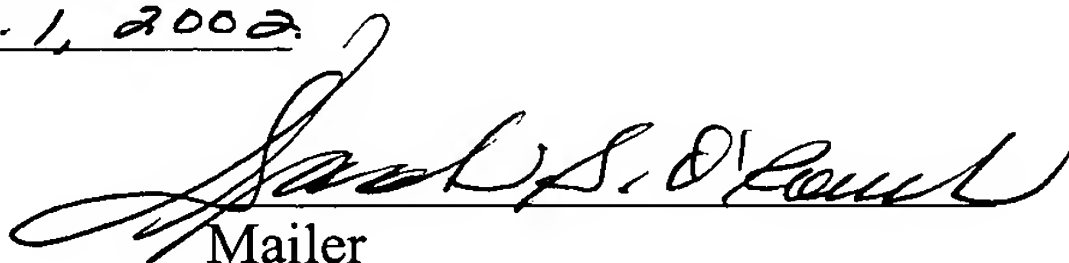
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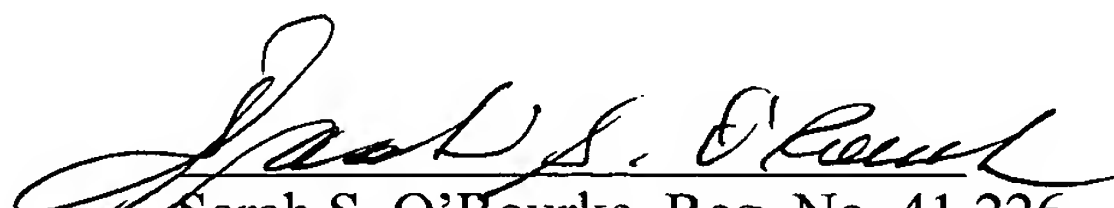
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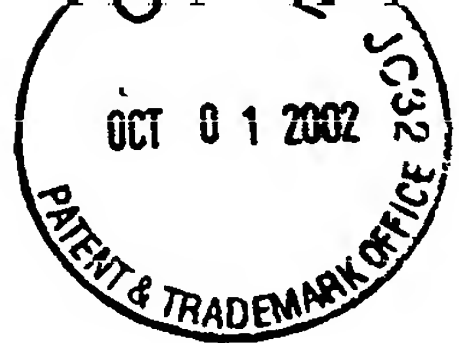
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SAME

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Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
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Sir:

Pursuant to 37 C.F.R. § 1.97, the Examiner may wish to consider the references listed on the attached Form PTO/SB/08A. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of all such patents and publications are attached hereto.

This Information Disclosure Statement is filed before mailing of a first Office Action in the above case. Accordingly, no fee is believed due. However, any fee associated herewith may be charged to Deposit Account No. 50-1123.

Signed on this 1 day of October, 2002

Respectfully submitted,

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Sheet 1 of 3

ATTY. DOCKET NO. HAU199  
CON  
Client/Matter No.  
8250.0040.001

APPLICATION NO. 10/001,880

FIRST NAMED INVENTOR

David T. Bailey, et al.

FILING DATE  
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ART UNIT  
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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No. No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-3,989,757	11/02/1976	Joseph Donald Surmatis	
	2	US-4,115,949	09/26/1978	Avron, et al.	
	3	US-4,199,895	04/29/1980	Avron, et al.	
	4	US-4,439,629	03/27/1984	Ruegg, et al.	
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	6	US-4,680,314	07/14/1987	Nonomura, et al.	
	7	US-4,713,398	12/15/1987	Nonomura, et al.	
	8	US-5,310,554	05/10/1994	Haigh, W. Geoffrey	
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Examiner Initials	Cite No.	Foreign Patent Doc cntry code - No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
	10	WO 93/24454	12/9/1993	Betatene, Ltd.		YES	NO
	11	GB/2218989A	12/15/1988	Institut Penyelidikan Minyak			

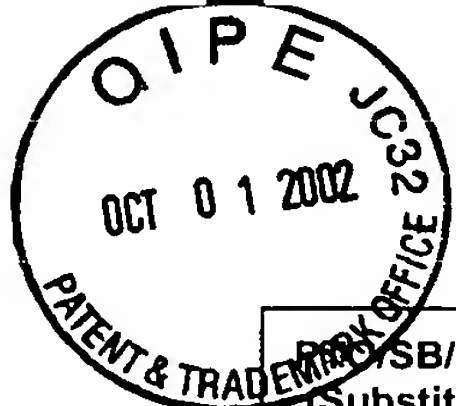
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)  Sheet 2 of 3		FIRST NAMED INVENTOR David T. Bailey, et al.	
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/	16	OOI, CHOO, YAP, BASIRON, and ONG, "Recovery of Carotenoids from Palm Oil," <i>JAACS</i> , 71 (4):423-426 (1994).	
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/	36	"The Determination of Carotene in Green-Leaf Material, Part I. Fresh Grass," <i>Analyst</i> , 75:568-573 (1950).	
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/	37	FURR, BARUA, OLSON, "Retinoids and Carotenoids," 1-71.
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/	45	"The biochemistry of the carotenoids, 1.3 Isolation and Purification," 8-9.
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